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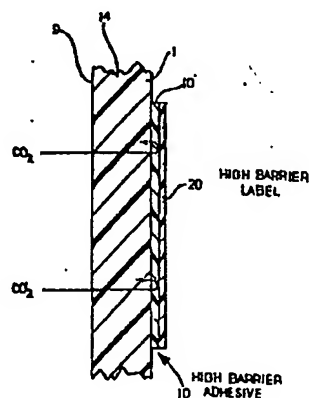
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64 Process for applying copolyester barrier layer to polyester container.

67 A polyester or copolyester container is made with a heat activated high barrier copolyester label applied to the container sidewall. The process for producing the high barrier container that is a hollow polyester or copolyester body with a barrier label effectively applied to its outer sidewall, includes forming the container by an extrusion blow method, injection blow method, orientation-blow method, or orientation blow-heat set method and applying the barrier label via an "in-mould" technique utilizing a heat-activatable high barrier copolyester resin as the adhesive.

FIG. 2



DESCRIPTION

PROCESS FOR APPLYING COPOLYESTER BARRIER LAYER  
TO POLYESTER CONTAINER.

5 The present invention relates to the preparation  
of a high barrier container by applying, to the body  
sidewall portion of a polyester container, a barrier  
label using a high barrier copolyester adhesive. More  
particularly, it relates to applying a high barrier  
copolyester barrier label to a polyester container  
10 sidewall by forming a barrier-effective, strong  
adhesive bond between the label and the container  
using a high barrier copolyester resin as a bonding  
agent.

15 The barrier properties of polyester plastics  
containers are important. For example, the oxygen  
permeability may determine the shelf-life of the  
package if it affects taste, colour and/or other  
aspects of product quality. For carbonated beverages,  
the carbon dioxide permeability determines the  
20 carbonation loss rate of the container and, therefore,  
the shelf-life of the product.

Since the introduction of the polyethylene  
terephthalate (hereinafter referred to as PET)  
plastics beverage bottle into the market, efforts have  
25 been going on to improve the barrier properties of the  
PET containers, in order to expand the use of PET for  
the packaging of food, wines, and carbonated beverages  
in smaller size containers.

Among the different ways of improving the CO<sub>2</sub> and  
30 O<sub>2</sub> permeability of polyester containers are:  
orientation, heat setting, barrier coatings and  
barrier labelling.

A barrier label is defined as a label which  
consists of a low permeation or impermeable material  
35 attached with a high barrier adhesive to a plastics  
container, causing the container to exhibit an  
improved barrier performance.

In order to better understand the barrier label concept, reference may be made to the ineffective example of a prior art foil label which is schematically shown in Figure 1, which is more fully described hereinbelow. In this case, for carbonated beverages, the CO<sub>2</sub> gas permeates from the inside of the plastics container through the sidewall into the typical adhesive layer and the paper backing; both are poor barriers to CO<sub>2</sub>. The aluminium foil is impermeable to gases; but the CO<sub>2</sub> can go through the adhesive and the paper to the outside.

An effective barrier label example, on the other hand, is shown in Figure 2, which is also more fully described hereinbelow. In this case, the adhesive which bonds the aluminium foil to the sidewall of the container forms a strong bond and it is also a good barrier to CO<sub>2</sub>. Therefore, as the CO<sub>2</sub> permeates from the inside through the bottle sidewall, it slowly reaches the foil and stops. Thus the CO<sub>2</sub> gas loss rate is significantly reduced. It should be emphasised that the adhesive should have a bond strength sufficient to withstand the pressure of CO<sub>2</sub> gas which can collect between the foil and the adhesive layer. If this bond strength is not sufficient, it can cause blistering and ultimately peeling off of the label.

Barrier labelling intended for use in food packaging must be able to withstand hot fill exposure without peeling off in addition to providing a good oxygen barrier. Current hot melt adhesives used for regular labels are usually activated at low temperatures, in general, less than 70°C, and therefore are not suitable for hot fill and retort applications. Therefore, for a barrier label to be acceptable for carbonated beverage and food applications, the glue or the adhesive must satisfy the following requirements:

1. low permeability to gases especially CO<sub>2</sub> and O<sub>2</sub>;
2. resistance to blistering or detachment due to CO<sub>2</sub> force;
- 5 3. stability at elevated temperatures so that it can be used for hot filling and retorting;
4. ease in applying the barrier label to the container by a practical commercial method.

The present invention provides methods and materials for producing plastics containers which can practically overcome the problems and meet the requirements identified above for effective barrier labelling. The present invention utilizes high barrier copolyesters as the barrier adhesives. Such copolyester resins can exhibit a wide range of activation temperatures. This makes them suitable for various forming processes such as orientation-blow moulding, orientation blow-moulding-heat setting, extrusion blow moulding and in-mould labelling. High barrier copolyesters are compatible with polyesters, preferably polyethylene terephthalate (PET) and, therefore, the adhesion between PET and the high barrier glue will be strong.

It is possible by means of the present invention to provide a process for producing a high barrier container comprising a hollow polyester or copolyester body with a barrier label effectively applied to its outer sidewall, the process including forming the container by an extrusion blow method, injection blow method, orientation-blow method, or orientation blow-heat set method and applying the barrier label via an "in-mould" technique utilizing a heat-activatable high barrier copolyester resin as the adhesive.

It is also possible by means of the present invention to provide a process and an article produced thereby, in which a high barrier label is applied to a polyester or copolyester container using a heat  
5 activated high barrier copolyester material that is provided on the sidewall by utilizing the copolyester material easily and efficiently in production processes including heat-setting, once the container is made or in processes for forming the container  
10 including orientation-blow moulding from extruded or injection-moulded parisons, extrusion blow moulding and "in-mould" labelling.

It is further possible by means of the present invention to provide a process for applying a high  
15 barrier label to a sidewall of a polyester or copolyester container including a polyethylene terephthalate (PET) beverage bottle using a high barrier copolyester label or other barrier label and adhering the label using a high barrier copolyester  
20 resin whose bonding characteristics are activated by heat exposure during the container forming process, and a product made thereby.

The present invention provides a method of producing a high barrier plastics container comprising  
25 a barrier label adhered to a hollow polyester container having an outer polyester sidewall surface, the method comprising the steps of:

A. applying a high barrier copolyester adhesive-coated label to the outer polyester sidewall of the  
30 container to form a label thereon; and

B. heating the label and container to an appropriate activation temperature to soften the adhesive sufficiently to stick to the polyester wall and form an effective adhesive bond in a boundary area  
35 between the label and the wall.

According to the present invention there is provided a method of providing a high barrier plastics label to a hollow polyester container having an outer polyester wall surface characterised by the steps of:

- 5 (A) forming a heat activatable, high barrier copolyester adhesive layer on the inside surface of a low permeation labelling film to form a label,
- (B) forming a polyester container having a body and an outer polyester wall surface, and
- 10 (C) heating the label and container to soften the copolyester layer sufficiently to stick to the polyester wall and form an adhesive bond in a boundary area between the layer and the wall.

The copolyester adhesive layer is desirably  
15 activated as the container is blown. Preferably the container is formed by extrusion blow moulding or by injection blow moulding. The high barrier layer may be formed on a parison that is subsequently formed into the container.

20 The heating of step (B) is preferably at about 100°C to 250°C and both softens the copolyester and simultaneously heat sets the polyester sidewall. Preferably the polyester is polyethylene terephthalate and the copolyester is a reaction product of  
25 isophthalic acid, ethylene glycol, and 1,3 bis (2-hydroxyethoxy) benzene. Desirably the polyester sidewall is about 0.375 to 0.75 mm (15 to 30 mls) thick and the polyester is about  $2.5 \times 10^{-3}$  to 0.025 (1/10 to 1 inch) thick.

30 In accordance with a first embodiment of the method of the present invention there is provided a method of providing a high barrier plastics label to a sidewall of a body portion of a polyethylene terephthalate container characterised by the steps of:

(A) forming a solid thermoplastic copolyester label around the body sidewall of the container, the label including a copolyester layer that contacts the sidewall, the copolyester being a reaction product of

5       (1) a dicarboxylic phthalic acid derivative and  
          (2) ethylene glycol and 1,3 bis (2-hydroxyethoxy) benzene, the copolyester having an I.V. of at least about 0.6, a glass transition temperature of about 60 to 75°C, an oxygen permeability of about 1 to 6 and a

10   CO<sub>2</sub> permeability of about 5 to 10 cc/ml/100 inch<sup>2</sup> day atmosphere; and

B. heating the label and container to at least about 100°C to soften the label to form an adhesive boundary between the label and the container sidewall.

15   In this embodiment, preferably there is the step of forming an outer pervious layer over the copolyester that becomes the outer layer of the label formed around the container.

In accordance with a second embodiment of the

20   method of the present invention there is provided a method of providing a high barrier multilayer plastic label to a hollow biaxially oriented polyethylene terephthalate container having a sidewall around its body portion, characterised in that the label

25   comprises an impervious copolyester layer and a pervious layer suitable for printing indicia thereon; the copolyester being a solid thermoplastic copolyester comprising the polymeric reaction product of

30       (A) reactant(s) selected from isophthalic acid, terephthalic acid and their C<sub>1</sub> to C<sub>4</sub> alkyl esters, and any mixture thereof in any proportion,

          (B) reactants, 1,3 bis (2-hydroxyethoxy) benzene plus ethylene glycol, and optionally one or more other

35   ester forming dihydroxy organic hydrocarbon reactant(s), and optionally,

(C) reactant, bis (4-B-hydroxyethoxyphenyl) sulfone, wherein

(1) the amount of the 1,3 bis (2-hydroxyethoxy) benzene is 5 to 90 mol percent of the amount of the (A) reactants,

(2) the combined amount of the (B) and (C) reactants is about 110 to 300 mol percent of the amount of the (A) reactants,

(3) the amount of the other ester forming dihydroxy organic hydrocarbon reactant(s) is zero to 20 mol percent of the amount of the (A) reactants, and

(4) the combined amount of the (C) reactant plus the 1,3 bis (2-hydroxyethoxy) benzene and the other ester forming dihydroxy organic hydrocarbon reactant(s) is not over 90 mol percent of the (A) reactants;

the method comprising the steps of:

(a) applying the label to the container sidewall with the copolyester layer next to the container sidewall, and

(b) heating the copolyester layer of the label and sidewall at least about 25°C above the glass transition temperature of the copolyester to soften the copolyester layer and sidewall to form a strong bond therebetween in a boundary layer portion therebetween.

According to the present invention there is also provided a hollow polyester or copolyester container having an outer sidewall surface, characterised by a high barrier copolyester material label bonded to the outer sidewall surface by a boundary layer between the container sidewall and the label being formed by a copolyester layer of the label contacting and adhered to the sidewall surface, the copolyester label material being a reaction product of isophthalic acid, ethylene glycol and 1,3 bis (2-hydroxyethoxy) benzene.



According to the present invention there is further provided a hollow oriented polyethylene terephthalate bottle having a generally cylindrical sidewall with an external surface, characterised by a  
5 copolyester label bonded to the sidewall, the label including a copolyester adhesive layer bonded to the sidewall, there being a boundary layer between the  
10 sidewall external surface and the label, the copolyester being a solid thermoplastic copolyester which comprises the polymeric reaction product as defined in the second embodiment of the present invention hereinabove.

In a preferred embodiment of the present invention, a high barrier label consisting of a thin  
15 low permeation film and a high barrier copolyester adhesive coated thereon is applied to the body portion of an oriented polyethylene terephthalate (PET) container, the container being a beverage bottle with sidewalls of about 8 to 20 mils in thickness, the I.V.  
20 of the PET being 0.65 - 0.90.

The present invention will now be further described with reference to, and as illustrated in the accompanying drawings, in which:-

Figure 1 is an enlarged fragmentary sectional view  
25 of a label on a container sidewall in accordance with the prior art;

Figure 2 is an enlarged sectional view of a high barrier label adhered to a sidewall of a polyester container using a high barrier copolyester adhesive in  
30 accordance with the present invention;

Figure 3 is an elevational view of a PET bottle having a high barrier label attached thereto around its body portion; and

Figure 4 is a perspective view showing a high  
35 barrier label coated with a heat-activatable high barrier copolyester adhesive in a blow mould whereby a

bottle can be blown against the label in an in-mould process.

Referring now to the drawings, as shown in Figure 1, a low permeation thin film 3, having an aluminium foil layer 7, backed by a paper layer 6, is glued to a container sidewall 4, with a low barrier adhesive layer 5, representing a typical ineffective barrier labelled container of the prior art.

An effective barrier labelled container based on the present invention is shown in Figure 2 comprising an oriented polyester bottle 9, with a high barrier label 20, bonded to the outer surface 15 of the bottle sidewall 14, with a high barrier, heat-activated copolyester adhesive layer 10. As for instance, seen in Figure 2, there is a boundary layer or region between the bottle sidewall 14 and the label 20, the external surface 15 and label being strongly bonded together by heat-activating the high barrier copolyester adhesive 10 using a hot mould whose temperature is at least about 100°C, which is above the glass transition temperature ( $T_g$ ) of the adhesive, as well as, of course, the bottle which generally has a lower  $T_g$ .

Typically, the barrier label 20 would be decorated via conventional printing on its outer surface to provide a decorated container 9, as shown in Figure 3. Alternatively, the bottle can be provided with a non-barrier (permeable) layer over the label 20, the layer being paper, plastics (generally not oriented) or other printable, easily applied material.

As seen in Figure 4, the barrier label 20 can be inserted into a portion of a blow mould 25 having inner walls 26 whereby a parison is blown into contact with the walls 26 to provide a labelled bottle in an in-mould process.

The polyester container sidewall is generally about 0.25 to 0.75 mm (10 to 30 mils) in thickness, the label of low permeation film coated with high barrier copolyester resin adhesive is usually about 0.025 to 0.15 mm (1 mil to 6 mils) in thickness and preferably about 0.05 to 0.1 mm (2 to 4 mils).

The copolyester is made as described in Example 5 of US-A-4,398,017 which is assigned to the present Applicant.

The present invention may be further described with reference to, but is in no manner limited to, the following Examples.

EXAMPLE I.

Into a 1-litre stainless steel reactor equipped with a stirrer, nitrogen gas inlet port and a condenser, the following were added:

332.3g	isophthalic acid
180g	ethylene glycol
59.4g	1.3 bis (2-hydroxyethoxy)benzene
0.7209g	1.1.1 tris(hydroxymethyl)ethane
0.1100g	titanyl acetylacetonate
0.1458g	Sb <sub>2</sub> O <sub>3</sub>
0.019g	tetrasodium ethylenediaminetetra- acetate

The reaction mixture was heated at 220°C for 1 hour, and then at 240°C for 30 minutes under nitrogen atmosphere. Water was continuously distilled out during this period. Then 0.688g of tris(nonylphenyl) phosphite was added to the mixture in the reactor. The reaction temperature was increased to 250°C and maintained for 40 minutes under nitrogen atmosphere. Then the nitrogen gas flow was stopped and a vacuum of less than 0.4 mmHg was applied. The reaction was continued at 270°C under less than 0.4 mm Hg for 4-1/2

hours. The copolyester had an inherent viscosity of 0.82. The glass transition temperature was 60°C. The O<sub>2</sub> and CO<sub>2</sub> gas permeabilities were 1.6 and 6.6 cc. mil/100 in -2.day atm., respectively.

5        One of the main advantages of the present invention is obtained by the use of a high barrier copolyester adhesive which is activated at bottle forming conditions (>90°C) to bond in-mould labels to PET bottles to provide a high barrier container. The  
10        following Table illustrates the activation temperatures for the processes involved.

TABLE 1

ACTIVATION TEMPERATURES FOR COPOLYESTER ADHESIVES

(Bottle Material) Process	Label Material	Hi-Barrier Copolyester Label Material	Other Label Materials	Bottle Temperature
Orientation-Blow	(PET)	<100°C	<100°C	Bottle At 90°-100°C
Orientation-Blow/ Heat-Set	(PET)	Not Applicable	<100°C >100°C	Bottle Mold Cold 90°-100°C 200°-240°C
Injection-Blow or Extrusion-Blow (Non-Oriented)	(Copolyester) eg PET G	Not Applicable	>100°C	Bottle at 150°C-200°C

As shown in the Table, for orientation-blow moulding, it is possible to use a high barrier heat stable copolyester material as the barrier label film itself in conjunction with a suitable heat activatable high barrier copolyester resin as the bonding agent. In such a case a coextrusion of a heat-stable high barrier copolyester material and a heat-activatable high barrier copolyester resin is prepared and labels of the desired length cut from the coextruded sheet.

The label can be applied to the bottle sidewall by wrapping the label around the bottle, by telescoping a copolyester sleeve label from below the bottle upwardly into place, and by blowing the bottle into a mould with the label located on the inside of the mould in an in-mould process.

The following heat-set Example also illustrates the present invention.

EXAMPLE II.

The label is inserted into the blow mould and maintained in the appropriate position by applying vacuum. The mould is heated to 230°C for example. The polyester preform or the polyester bottle is blown against the hot mould and kept in contact with the mould to effect heat setting of the polyester container and to activate the barrier label, after which the mould is opened and the bottle is removed and cooled. In this way a strong adhesion exists between the barrier label and the polyester container.

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CLAIMS

1. A method of providing a high barrier plastics label to a hollow polyester container having an outer polyester wall surface characterised by the steps of:
  - 5 (A) forming a heat activatable, high barrier copolyester adhesive layer on the inside surface of a low permeation labelling film to form a label,
  - (B) forming a polyester container having a body and an outer polyester wall surface, and
  - 10 (C) heating the label and container to soften the copolyester layer sufficiently to stick to the polyester wall and form an adhesive bond in a boundary area between the layer and the wall.
2. A method according to claim 1, wherein the  
15 copolyester adhesive layer is activated as the container is blown.
3. A method according to claim 1 or 2, wherein the container is formed by extrusion blow moulding or by injection blow moulding.
- 20 4. A method according to any of claims 1 to 3, wherein the high barrier layer is formed on a parison that is subsequently formed into the container.
5. A method according to any of claims 1 to 4, wherein the heating of Step (B) is at about 100°C to  
25 250°C.
6. A method according to any of claims 1 to 5, wherein the heating of Step (B) softens the copolyester and simultaneously heat sets the polyester sidewall.
- 30 7. A method according to any of claims 1 to 6, wherein the polyester is polyethylene terephthalate and the copolyester is a reaction product of isophthalic acid, ethylene glycol, and 1,3 bis (2-hydroxyethoxy) benzene.

8. A method according to any of claims 1 to 7, wherein the polyester sidewall is about 0.375 to 0.75 mm (15 to 30 mils) thick and the copolyester is about  $2.5 \times 10^{-3}$  to 0.025 mm (1/10 to 1 mil) thick.

- 5        9. A method of providing a high barrier plastics label to a sidewall of a body portion of a polyethylene terephthalate container characterised by the steps of:

          (A) forming a solid thermoplastic copolyester  
10    label around the body sidewall of the container, the label including a copolyester layer that contacts the sidewall, the copolyester being a reaction product of  
          (1) a dicarboxylic phthalic acid derivative and  
          (2) ethylene glycol and 1,3 bis (2-hydroxyethoxy)  
15    benzene, the copolyester having an I.V. of at least about 0.6, a glass transition temperature of about 60 to 75°C, an oxygen permeability of about 1 to 6 and a CO<sub>2</sub> permeability of about 5 to 10 cc/mil/100 inch<sup>2</sup> day atmosphere; and

- 20        B. heating the label and container to at least about 100°C to soften the label to form an adhesive boundary between the label and the container sidewall.

          10. A method according to claim 9 wherein there is the step of forming an outer pervious layer over  
25    the copolyester that becomes the outer layer of the label formed around the container.

          11. A method of providing a high barrier  
          multilayer plastic label to a hollow biaxially  
          oriented polyethylene terephthalate container having a  
30    sidewall around its body portion, characterised in that the label comprises an impervious copolyester layer and a pervious layer suitable for printing indicia thereon; the copolyester being a solid thermoplastic copolyester comprising the polymeric  
35    reaction product of



(A) reactant(s) selected from isophthalic acid, terephthalic acid and their C<sub>1</sub> to C<sub>4</sub> alkyl esters, and any mixture thereof in any proportion,

5 (B) reactants, 1,3 bis (2-hydroxyethoxy) benzene plus ethylene glycol, and optionally one or more other ester forming dihydroxy organic hydrocarbon reactant(s), and optionally,

(C) reactant, bis (4-B-hydroxyethoxyphenyl) sulfone, wherein

10 (1) the amount of the 1,3 bis (2-hydroxyethoxy) benzene is 5 to 90 mol percent of the amount of the (A) reactants,

(2) the combined amount of the (B) and (C) reactants is about 110 to 300 mol percent of the  
15 amount of the (A) reactants,

(3) the amount of the other ester forming dihydroxy organic hydrocarbon reactant(s) is zero to 20 mol percent of the amount of the (A) reactants, and

20 (4) the combined amount of the (C) reactant plus the 1,3 bis (2-hydroxyethoxy) benzene and the other ester forming dihydroxy organic hydrocarbon reactant(s) is not over 90 mol percent of the (A) reactants;

the method comprising the steps of:

25 (a) applying the label to the container sidewall with the copolyester layer next to the container sidewall, and

(b) heating the copolyester layer of the label and sidewall at least about 25°C above the glass  
30 transition temperature of the copolyester to soften the copolyester layer and sidewall to form a strong bond therebetween in a boundary layer portion therebetween.

12. A hollow polyester or copolyester container  
35 having an outer sidewall surface, characterised by a

high barrier copolyester material label bonded to the outer sidewall surface by a boundary layer between the container sidewall and the label being formed by a copolyester layer of the label contacting and adhered to the sidewall surface, the copolyester label material being a reaction product of isophthalic acid, ethylene glycol and 1,3 bis (2-hydroxyethoxy) benzene.

13. A hollow oriented polyethylene terephthalate bottle having a generally cylindrical sidewall with an external surface, characterised by a copolyester label bonded to the sidewall, the label including a copolyester adhesive layer bonded to the sidewall, there being a boundary layer between the sidewall external surface and the label, the copolyester being a solid thermoplastic copolyester comprising the polymeric reaction product of

(A) reactant(s) selected from isophthalic acid, terephthalic acid and their C<sub>1</sub> to C<sub>4</sub> alkyl esters, and any mixture thereof in any proportion,

(B) reactants, 1,3 bis (2-hydroxyethoxy) benzene plus ethylene glycol, and optionally one or more other ester forming dihydroxy organic hydrocarbon reactant(s), and optionally,

(C) reactant, bis (4-B-hydroxyethoxyphenyl) sulfone, wherein

(1) the amount of the 1,3 bis (2-hydroxyethoxy) benzene is 5 to 90 mol percent of the amount of the (A) reactants,

(2) the combined amount of the (B) and (C) reactants is about 110 to 300 mol percent of the amount of (A) reactants,

(3) the amount of the other ester forming dihydroxy organic hydrocarbon reactant(s) is zero to 20 mol percent of the amount of the (A) reactants, and

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(4) the combined amount of the (C) reactant plus the 1,3 bis (2-hydroxyethoxy) benzene and the other ester forming dihydroxy organic hydrocarbon reactant(s) is not over 90 mol percent of the (A) reactants.

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FIG. 1

PRIOR ART

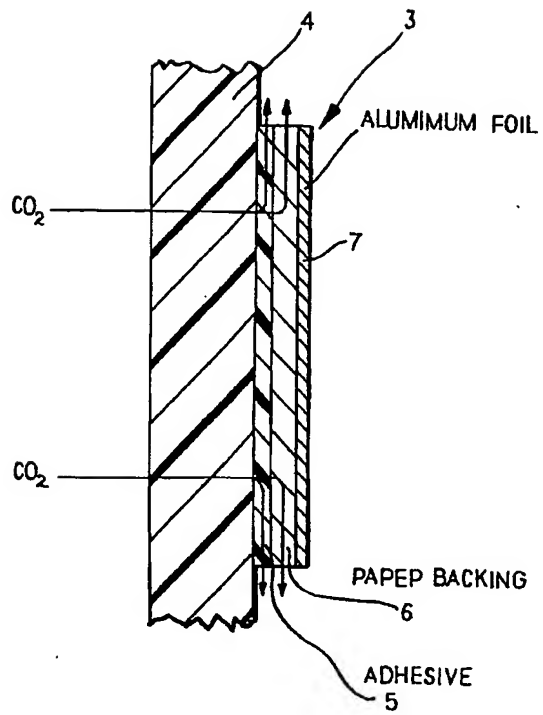


FIG. 2

